

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,557	12/04/2000	John F. Szul	2000U044.US

CONFIRMATION NO. 4107

FORMALITIES LETTER



OC000000005687088

Univation Technologies, LLC
5555 San Felipe, Suite 1950
Houston, TX 77056

Date Mailed: 01/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$288.
 - \$288 for 16 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1128.

A copy of this notice MUST be returned with the reply.

Seble Borale

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/12/2001 HU0061 00000117 500589 09729557

01 FC:105 130.00 CH
02 FC:101 710.00 CH
03 FC:103 288.00 CH



#3

/"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re)
Application of: Szul, et al.)
Serial No.: 09/729,557)
Filed: December 04, 2000)
For: Catalyst Composition, Method of)
Polymerization and Polymer Therefrom)

Before the Examiner: Unassigned
Group Art Unit No.: 1713
Date: March 7, 2001

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

- [X] The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on March 7, 2001.

REQUEST FOR CORRECTED FILING RECEIPT

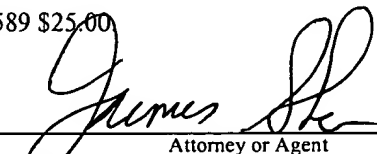
- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error in that the following data is:
[X] incorrectly entered
and/or
[] omitted
[X] Applicant's Name
[] Applicant's Address
[] Title
[] Filing Date
[] Serial Number
[] Foreign/PCT Application Reference
[] Other

in that the filing receipt should read as follows:

Tae Hoon Kwak

3. (complete the following applicable item A or B)
A. [X] The correction is not due to any error by applicant and no fee is due
OR
B. [] The correction is due to applicant's error and the fee therefor under 37 CFR 1.19(i) of \$25.00 is paid as follows:
[] charge Deposit Account No. 50-0589 \$25.00

March 7, 2001
Date of Signature


Attorney or Agent
James Sher
Registration No. 34,726
Telephone No. (713) 892-3668
Facsimile No. (713) 892-3687

Post Office Address (to which correspondence is to be sent):

Univation Technologies LLC
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,557	12/04/2000	1713	0	2000U044.US	1	36	2

Univation Technologies, LLC
5555 San Felipe, Suite 1950
Houston, TX 77056

DATE DOCKETED

1/24/2001

By JAK

CONFIRMATION NO. 4107

FILING RECEIPT



OC000000005687087

Date Mailed: 01/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John F. Szul, Nitro, WV;
Tae Hoon Kwack, Belle Mead, NJ;
David James Schreck, Cross Lanes, WV;
Simon Mawson, Charleston, WV;
Matthew G. McKee, Charleston, WV;
Kersten Erickson Terry, South Charleston, WV;
Mark G. Goode, Hurricane, WV;
Gregory T. Whiteker, Charleston, WV;
Eric A. Lucas, South Charleston, WV;

Continuing Data as Claimed by Applicant

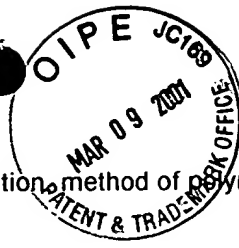
Foreign Applications

If Required, Foreign Filing License Granted 01/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



Title

Catalyst composition, method of polymerization and polymer therefrom

Preliminary Class

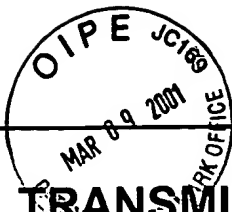
526

Data entry by : TERFA, SEBLE

Team : OIPE

Date: 01/18/2001





SECTOR
\$ 113

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/729,557	
	Filing Date	December 04, 2000	
	First Named Inventor	Szul, et al.	
	Group Art Unit	1713	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	2000U044.US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Preliminary Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Non-Fee Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Request for Corrected for Filing Receipt
<input type="checkbox"/> Certified Copy of Priority Documents)		3. Copies of Utility Transmittal and Fee Transmittal
<input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts		

REMARKS
Please note that authorization was given on December 4, 2000, to charge Deposit Account No. 50-0589 for the amount of \$998.00, which covers the basic filing fee of \$710 and the additional claims fee of \$288 for this application. A copy of the Fee Transmittal is included.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Jaimes Sher	Registration No.	34,726
Signature			
Date	March 7, 2001		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **March 7, 2001**

Typed or printed name	Tammy L. Hodges	Date	March 7, 2001
Signature			

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

PIPE JC189
MAR 09 2001
PATENT & TRADEMARK

Complete if Known

Application Number 09/729,557
Filing Date December 04, 2000
Inventors Szul, et al.
Group Art Unit 1713
Examiner Name Unassigned
Attorney Docket Number 2000U044.US

Total Amount of Payment (\$)**130.00**

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	760	Utility filing fee	
106	310	Design filing fee	
107	480	Plant filing fee	
108	760	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (1)			

2. CLAIMS

Extra	Fee from below	Fee Paid
Total Claims <input type="text"/> - 20 = <input type="text"/>	x 18	= <input type="text"/>
Independent Claims <input type="text"/> - 3 = <input type="text"/>	x 78	= <input type="text"/>
Multiple Dependent Claims <input type="text"/>	x 260.00	= <input type="text"/>

Large Entity

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<input type="text"/>

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	130.00
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1,360	Extension for reply within fourth month	
128	1,850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,210	Petition to revive - unintentional	
142	1,210	Utility issue fee (or reissue)	
143	430	Design issue fee	
144	580	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
146	760	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify) * Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			130.00

Typed or Printed Name

Jaimes Sher

Reg. No.

34,726

Signature

Jaimes Sher

Date

March 7, 2001

Deposit Account
User ID